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Counsel to Bradley D. Sharp,  
Chapter 11 Trustee

**UNITED STATES BANKRUPTCY COURT**  
**CENTRAL DISTRICT OF CALIFORNIA**  
**LOS ANGELES DIVISION**

In re:

LESLIE KLEIN,

Debtor.

Case No.: 2:23-bk-10990-SK

Chapter 11

**DECLARATION OF RAMON SAINZ RE  
SERVICE OF ORDER GRANTING MOTION  
OF CHAPTER 11 TRUSTEE AUTHORIZING  
THE EXAMINATION OF PUTNAM  
INVESTMENTS, LLC PURSUANT TO FED.  
R. BANKR. P. 2004; AND SUBPOENA FOR  
RULE 2004 EXAMINATION OF PUTNAM  
INVESTMENTS, LLC**

[Relates to Docket No. 414]

1 I, Ramon Sainz, declare and state as follows:

2 1. I am a legal secretary at the Law Firm of Pachulski Stang Ziehl & Jones LLP counsel  
3 for Bradley D. Sharp, the duly appointed Chapter 11 trustee (the "Trustee") in the Chapter 11 case of  
4 Leslie Klein (the "Debtor"), in the above-captioned case.

5 2. I am over the age of eighteen years old and have personal knowledge of the facts set  
6 forth herein, and if called as a witness would and could competently testify thereto.

7 3. On October 12, 2023, I caused to be served on Putnam Investments, LLC and other  
8 parties in interest, the following documents (the "Order and Rule 2004 Subpoena"):

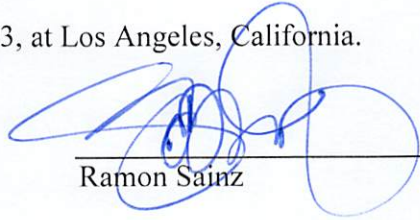
9 (a) A copy of the Order Granting Motion of Chapter 11 Trustee Authorizing the  
10 Examination of Putnam Investments, LLC Pursuant to Fed. R. Bankr. P. 2004 [Docket No. 414]; and

11 (b) A copy of the Subpoena for Rule 2004 Examination of Putnam Investments,  
12 LLC.

13 4. The Order and Rule 2004 Subpoena were served upon the parties listed on the  
14 attached Service List and were served by placing true copies thereof in envelopes and then by  
15 sealing the envelopes and depositing them with first-class postage thereon fully prepaid, in the  
16 United States Mail at Los Angeles, California and served upon one of the parties on the attached  
17 Service List via Federal Express Overnight service (see attached Proof of Service).

18 I declare under penalty of perjury that, to the best of my knowledge, the foregoing is true and  
19 correct.

20 Executed this 12<sup>th</sup> day of October, 2023, at Los Angeles, California.

21  
22   
23 \_\_\_\_\_  
24 Ramon Sainz  
25  
26  
27  
28

## PROOF OF SERVICE OF DOCUMENT

I am over the age of 18 and not a party to this bankruptcy case or adversary proceeding. My business address is:  
10100 Santa Monica Blvd., 13th Floor, Los Angeles, CA 90067-4003

A true and correct copy of the foregoing document entitled (*specify*): **DECLARATION OF RAMON SAINZ RE SERVICE OF ORDER GRANTING MOTION OF CHAPTER 11 TRUSTEE AUTHORIZING THE EXAMINATION OF PUTNAM INVESTMENTS, LLC PURSUANT TO FED. R. BANKR. P. 2004; AND SUBPOENA FOR RULE 2004 EXAMINATION OF PUTNAM INVESTMENTS, LLC** will be served or was served (a) on the judge in chambers in the form and manner required by LBR 5005-2(d); and (b) in the manner stated below:

**1. TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF):** Pursuant to controlling General Orders and LBR, the foregoing document will be served by the court via NEF and hyperlink to the document. On (*date*) October 12, 2023, I checked the CM/ECF docket for this bankruptcy case or adversary proceeding and determined that the following persons are on the Electronic Mail Notice List to receive NEF transmission at the email addresses stated below:

☒ Service information continued on attached page

**2. SERVED BY UNITED STATES MAIL:**

On (*date*) October 12, 2023, I served the following persons and/or entities at the last known addresses in this bankruptcy case or adversary proceeding by placing a true and correct copy thereof in a sealed envelope in the United States mail, first class, postage prepaid, and addressed as follows. Listing the judge here constitutes a declaration that mailing to the judge will be completed no later than 24 hours after the document is filed.

Putnam Investments, LLC  
Attn: Legal Compliance Dept.  
M-26-B  
100 Federal Street  
Boston, MA 02110

☐ Service information continued on attached page

**3. SERVED BY PERSONAL DELIVERY, OVERNIGHT MAIL, FACSIMILE TRANSMISSION OR EMAIL** (*state method for each person or entity served*): Pursuant to F.R.Civ.P. 5 and/or controlling LBR, on (*date*) October 12, 2023, I served the following persons and/or entities by personal delivery, overnight mail service, or (for those who consented in writing to such service method), by facsimile transmission and/or email as follows. Listing the judge here constitutes a declaration that personal delivery on, or overnight mail to, the judge will be completed no later than 24 hours after the document is filed.

**Via FedEx**

Agent for Service-Putnam Investments  
CT Corporation System  
155 Federal Street, Ste 700  
Boston, MA 02110

☐ Service information continued on attached page

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

October 12, 2023  
*Date*

Ramon Sainz  
*Printed Name*

/s/ Ramon Sainz  
*Signature*

**1. TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING (NEF):**

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